

7/24/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Nimrod Megiddo	Examiner:	Not yet assigned
Serial No.:	09/607,513	Group Art Unit:	Not yet assigned
Filed:	June 28, 2000	Docket:	ARC-00-0030-US1
Title:	METHOD FOR SOLVING STOCHASTIC CONTROL PROBLEMS OF LINEAR SYSTEMS IN HIGH DIMENSION		

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on July 19, 2000.

By: 

Name: George H. Gates

INFORMATION DISCLOSURE STATEMENT(37 C.F.R. §1.97(b))

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within 3-months of the filing date of the above-identified application. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.


Respectfully submitted,

Nimrod Megiddo

By his attorneys,

GATES & COOPER
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: July 19, 2000

By: 
Name: George H. Gates
Reg. No.: 33,500
GHG/sjm

Date Mailed: July 19, 2000

Sheet 1 of 1

Form 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION	Docket Number: ARC-00-0030-US1	Application Number: 09/607,513
	Applicant: Nimrod Megiddo	
	Filing Date: June 28, 2000	Group Art Unit: Not yet assigned

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	J. Filar and K. Vrieze, "Competitive Markov Decision Process," Springer-Verlag, pp. 1-6 (1996)
	R. Bellman, "Dynamic Programming," Princeton University Press, pp. 3-5, 317-318 (1957)
	M.L. Puterman, "Markov Decision Processes: Discrete Stochastic Dynamic Programming," John Wiley and Sons, Inc., pp. 142-143 (1994)
	G.J. Gordon, "Approximate Solutions to Markov Decision Processes," Doctoral dissertation, School of Computer Science, Carnegie Mellon University, pp. 1-140 (1999)

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

G&C 30879.79LS01